

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jorg Peter, et al.)Examiner
Serial No.: 10/551,006)Unknown
Filed: 05/30/2006)Art Unit
For: SEALING ARRANGEMENT)1030



August 2006

TRANSMITTAL LETTER TO CORRECT FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Please correct the filing receipt for the hereinabove referenced U.S. Patent Application under the "Assignment for Published Patent Application" category. The current filing receipt has the name "Busak + Samban Deutschland GmbH" it should read "Busak + Shamban Deutschland GmbH". A copy of the filing receipt, International Preliminary Examination Report, and assignment are attached herewith for your reference.

Respectfully submitted,

Walter A. Hackler, Reg. No. 27,792
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2372 S.E. Bristol, Suite B
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UNITED STATES PATENT AND TRADEMARK OFFICE

P 8186 US

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/551,006	05/30/2006	3673	1030	3425	1	8	1

CONFIRMATION NO. 1382

Walter A Hackler
 Patent Law Office
 2372 S E Bristol Street
 Suite B
 Newport Beach, CA 92660-0755

FILING RECEIPT



OC000000019683790

Date Mailed: 07/21/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jorg Peter, Schonaich, GERMANY; ✓
 Rolf Poethig, Holzgerlingen, GERMANY; ✓
 Ulrich Frenzel, Gerlingen, GERMANY; ✓
 Jean-Jacques Adolf, Cessy, FRANCE; ✓
 Doriano Scaltritt, Reggio Emilia, ITALY; ✓

Assignment For Published Patent Application

Busak + Samban Deutschland GmbH ✓

Power of Attorney:

Walter Hackler-27792 ✓

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/DE04/00647 03/29/2004 ✓

Foreign Applications

GERMANY 10314533.8 03/31/2003 ✓

If Required, Foreign Filing License Granted: 07/19/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/551,006**

Projected Publication Date: 10/26/2006

Non-Publication Request: No

Early Publication Request: No

Title

Sealing arrangement

Preliminary Class

277

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights); you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/551,006	Jorg Peter	3425

Walter A Hackler
 Patent Law Office
 2372 S E Bristol Street
 Suite B
 Newport Beach, CA 92660-0755

INTERNATIONAL APPLICATION NO.

PCT/DE04/00647

I.A. FILING DATE

PRIORITY DATE

03/29/2004

03/31/2003

CONFIRMATION NO. 1382

371 ACCEPTANCE LETTER



OC000000019683791

Date Mailed: 07/21/2006

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>05/30/2006</u>	<u>05/30/2006</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 09/27/2005
- Copy of the International Search Report filed on 09/27/2005
- Copy of IPE Report filed on 09/27/2005
- Preliminary Amendments filed on 05/30/2006
- Information Disclosure Statements filed on 09/27/2005
- Oath or Declaration filed on 05/30/2006
- U.S. Basic National Fees filed on 09/27/2005
- Priority Documents filed on 09/27/2005
- Power of Attorney filed on 05/30/2006
- Non-English Language Application filed on 05/30/2006
- Specification filed on 05/30/2006

- Claims filed on 05/30/2006
- Abstracts filed on 05/30/2006
- Drawings filed on 05/30/2006

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

PAULETTE R KIDWELL
Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

Translation

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY
(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference P8186PCT	FOR FURTHER ACTION See Form PCT/IPEA/416	
International application No. PCT/DE2004/000647	International filing date (day/month/year) 29.03.2004	Priority date (day/month/year) 31.03.2003
International Patent Classification (IPC) or national classification and IPC		
Applicant BUSAK + SHAMBAN DEUTSCHLAND GMBH		

1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 5 sheets, including this cover sheet.

3. This report is also accompanied by ANNEXES, comprising:

a. ☒ (sent to the applicant and to the International Bureau) a total of 3 sheets, as follows:

☐ sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).

☐ sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.

b. ☐ (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) _____, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).

4. This report contains indications relating to the following items:

☒ Box No. I Basis of the report

☐ Box No. II Priority

☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

☐ Box No. IV Lack of unity of invention

☒ Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

☐ Box No. VI Certain documents cited

☒ Box No. VII Certain defects in the international application

☐ Box No. VIII Certain observations on the international application

Date of submission of the demand	Date of completion of this report
Name and mailing address of the IPEA/EP	Authorized officer
Facsimile No.	Telephone No.

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 01, 2006

PTAS

700267146A

WALTER A. HACKLER
2372 S.E. BRISTOL STREET, SUITE B
NEWPORT BEACH, CA 92660-0755

~~*700267146A*~~

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/26/2006

REEL/FRAME: 017707/0032
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 3425

ASSIGNOR:

PETER, JORG

DOC DATE: 10/13/2005

ASSIGNOR:

POETHIG, ROLF

DOC DATE: 10/13/2005

ASSIGNOR:

FRENZEL, ULRICH

DOC DATE: 10/30/2005

ASSIGNOR:

ADOLF, JEAN-JACQUES

DOC DATE: 10/27/2005

ASSIGNOR:

JORDAN, HOLGER

DOC DATE: 10/05/2005

017707/0032 PAGE 2



ASSIGNOR:

CATENI, GIANFRANCO

DOC DATE: 10/25/2005

ASSIGNOR:

SCALTRITI, DORIANO

DOC DATE: 10/27/2005

ASSIGNEE:

BUSAK + SHAMBAN DEUTSCHLAND GMBH
HANDWERKSTRASSE 5-7
STUTTGART, GERMANY D-70565

SERIAL NUMBER: 10551006

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: SEALING ARRANGEMENT

LAZENA MARTIN, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

US/20/2000
700267146

Docket No.: 3425

FORM PTO-1585 (Mod/Rev)

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/21/2002)

P03A/REV03

RECORDATION FORM COVER SHEET

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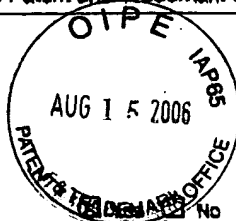
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Jörg PETER
Rolf POETHIG
Ulrich FRENZEL
Jean-Jacques ADOLF

Additional names(s) of conveying party(ies)



2. Name and address of receiving party(ies):

Name: BUSAK + SHAMBAN DEUTSCHLAND GmbHAddress: HANDWERKSTRASSE 5-7

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

10/27/05

Execution Date: 10/13/05; 10/31/05; 10/25/05;City: STUTTGART State/Prov.: _____Country: GERMANY ZIP: D-70565

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

10/551,006

9/27/2005

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: WALTER A. HACKLERRegistration No. 27,792Address: 2372 S.E. BRISTOL STREET, SUITE BCity: NEWPORT BEACH State/Prov.: CACountry: US ZIP: 92660-0755

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

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WALTER A. HACKLER

Name of Person Signing

Signature

MAY 26, 2006

Date

6

Total number of pages including cover sheet, attachments, and document:

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Docket No.: 3425

FORM PTO-1596 (Modified)
(Rev. 03-01)
OMB No. 0851-0027 (exp. 5/31/2002)
PDBA/RSV03

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Patent and Trademark Office

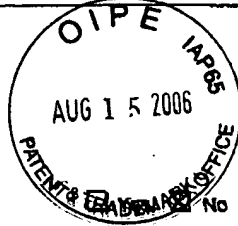
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1. Name of conveying party(ies):

Holger JORDAN
Gianfranco CATENI
Doriano SCALTRITI



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Name: _____

Address: _____

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

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4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

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Address: _____

City: _____ State/Prov.: _____

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6. Total number of applications and patents involved: ☐

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Signature

Date

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